Lighthouse Behavioral Health Center, LLC HIPAA Notice of Privacy Practices

Your health record contains personal information about you and your health. Any records prior to October 2021 are stored in a locked file cabinet at Lighthouse Behavioral Health Center, LLC. Effective October 2021, Lighthouse Behavioral Health Center, LLC is utilizing an electronic health records system. All records will be maintained by Valant Medical Solutions, Inc. Lighthouse Behavioral Health Center, LLC has a business agreement with Valant Medical Solutions to ensure that all HIPAA guidelines are followed. This personal information may identify you and discuss your mental and physical health. This Notice of Privacy Practices describes how we may use and disclose your Protected Health Information (PHI) in accordance with applicable law, including the Health Insurance Portability and Accountability Act ("HIPAA"), regulations disseminated under HIPAA including the HIPAA Privacy and Security Rules, and the ACA Code of Ethics. It also describes your rights regarding how you may gain access to and control your PHI.

We are required by law to maintain the privacy of PHI and to provide you with notice of our legal duties and privacy practices with respect to PHI. We are required to abide by the terms of this Notice of Privacy Practices. We reserve the right to change the terms of our Notice of Privacy Practices at any time. Any new Notice of Privacy Practices will be effective for all PHI that we maintain at that time. If changed, we will provide you with a copy of the revised Notice of Privacy Practices at your next appointment.

HOW WE MAY USE AND DISCLOSE HEALTH INFORMATION ABOUT YOU

<u>For Treatment</u>. Your PHI may be disclosed by those who are involved in your care for the purpose of providing, coordinating, or managing your mental health care treatment and related services. This includes consultation with clinical supervisors or other treatment team members.

<u>For Payment</u>. We may use and disclose PHI so that we can receive payment for services rendered. This will only be done with your authorization. Examples of payment-related activities are: making a determination of eligibility or coverage for insurance benefits, processing claims with your insurance company, reviewing services provided to you to determine medical necessity, or undertaking utilization review activities. If it becomes necessary to use collection processes due to lack of payment for services, we will only disclose the minimum amount of PHI necessary for purposes of collection. <u>For Health Care Operations</u>. We may use or disclose, as needed, your PHI in order to support our business activities including, but not limited to, quality assessment activities, employee review activities, licensing, and conducting or arranging for other business activities.

<u>Required by Law.</u> Under the law, we must disclose your PHI to you upon your request unless it is determined by a third party that it could cause harm to you. In addition, we must make disclosures to the Secretary of the Department of Health and Human Services for the purpose of investigating or determining our compliance with the requirements of the Privacy Rule. <u>Without Authorization</u>. Following is a list of the categories of uses and disclosures permitted by HIPAA without an authorization. Applicable law and ethical standards permit us to disclose information about you without your authorization only in a limited number of situations.

Child Abuse or Neglect. We may disclose your PHI to a state or local agency that is authorized by law to receive reports of child abuse or neglect.

Judicial and Administrative Proceedings. We may disclose your PHI pursuant to a subpoena, court order, administrative order, or similar process.

Medical Emergencies. We may use or disclose your PHI in a medical emergency situation to medical personnel only in order to prevent serious harm.

Family Involvement in Care. We may disclose information to close family members or friends directly involved in your treatment as necessary to prevent serious harm.

Health Oversight. If required, we may disclose PHI to a health oversight agency for activities authorized by law, such as audits, investigations, and inspections. Oversight agencies seeking this information include government agencies, insurance companies and organizations that provide financial assistance to the program (such as third-party payers) and peer review organizations performing utilization and quality control.

Law Enforcement. We may disclose PHI to a law enforcement official as required by law, in compliance with a subpoena, court order, administrative order or similar document, for the purpose of identifying a suspect, material witness or missing person, in connection with the victim of a crime, in connection with a deceased person, in connection with the reporting of a crime in an emergency, or in connection with a crime on the premises. Specialized Government Functions. We may review requests from U.S. military command authorities if you have served as a member of the armed forces, authorized officials for national security and intelligence reasons and to the Department of State for medical suitability determinations, and disclose your PHI based on your written consent, mandatory disclosure laws and the need to prevent serious harm.

Public Health. If required, we may use or disclose your PHI for mandatory public health activities to a public health authority authorized by law to collect or receive such information for the purpose of preventing or controlling

disease, injury, or disability, or if directed by a public health authority, to a government agency that is collaborating with that public health authority.

Public Safety. We may disclose your PHI if necessary to prevent or lessen a serious and imminent threat to the health or safety of a person or the public. If information is disclosed to prevent or lessen a serious threat it will be disclosed to a person or persons reasonably able to prevent or lessen the threat, including the target of the threat. Clinical Supervision and Consultation. We may disclose your PHI with employees and contractors of LBHC. This will be for the purpose of clinical consultation and clinical supervision.

<u>With Authorization</u>. Uses and disclosures not specifically permitted by applicable law will be made only with your written authorization, which may be revoked at any time, except to the extent that we have already made a use or disclosure based upon your authorization. The following uses and disclosures will be made only with your written authorization: (i) most uses and disclosures of psychotherapy notes which are separated from the rest of your medical record; (ii) most uses and disclosures of PHI for marketing purposes, including subsidized treatment communications; (iii) disclosures that constitute a sale of PHI; and (iv) other uses and disclosures not described in this Notice of Privacy Practices.

It is noted that individuals who join patients in session are afforded confidentiality based on the nature of sessions but laws do not exist to cover confidentiality for other participants outside of the client.

YOUR RIGHTS REGARDING YOUR PHI

You have the following rights regarding PHI we maintain about you. To exercise any of these rights, please submit your request in writing to our CEO.

Right of Access to Inspect and Copy. You have the right, which may be restricted only in exceptional circumstances, to inspect and copy PHI that is maintained in a "designated record set". A designated record set contains mental health/medical and billing records and any other records that are used to make decisions about your care. Your right to inspect and copy PHI will be restricted only in those situations where there is compelling evidence that access would cause serious harm to you or if the information is contained in separately maintained psychotherapy notes. We may charge a reasonable, cost-based fee for copies. If your records are maintained electronically, you may also request an electronic copy of your PHI. You may also request that a copy of your PHI be provided to another person. The process to request and receive records may take 7-10 business days. Right to Amend. If you feel that the PHI, we have about you is incorrect or incomplete, you may ask us to amend the information although we are not required to agree to the amendment. If we deny your request for amendment, you have the right to file a statement of disagreement with us. We may prepare a rebuttal to your statement and will provide you with a copy. Please contact the CEO if you have any questions. Right to an Accounting of Disclosures. You have the right to request an accounting of certain of the disclosures that we make of your PHI. We may charge you a reasonable fee if you request more than one accounting in any 12-month period.

<u>Right to Request Restrictions</u>. You have the right to request a restriction or limitation on the use or disclosure of your PHI for treatment, payment, or health care operations. We are not required to agree to your request unless the request is to restrict disclosure of PHI to a health plan for purposes of carrying out payment or health care operations, and the PHI pertains to a health care item or service that you paid for out of pocket. In that case, we are required to honor your request for a restriction.

<u>Right to Request Confidential Communication</u>. You have the right to request that we communicate with you about health matters in a certain way or at a certain location. We will accommodate reasonable requests. We may require information regarding how payment will be handled or specification of an alternative address or other method of contact as a condition for accommodating your request. We will not ask you for an explanation of why you are making the request.

<u>Breach Notification</u>. If there is a breach of unsecured PHI concerning you, we may be required to notify you of this breach, including what happened and what you can do to protect yourself.

<u>Right to a Copy of this Notice</u>. You have the right to a copy of this notice.

COMPLAINTS REGARDING PRIVACY RIGHTS

If you believe Lighthouse Behavioral Health Center, LLC has violated your privacy rights, you have the right to complain to Lighthouse Behavioral Health Center's management. To file your complaint, contact Robyn Jennings, CEO at (804) 447-6382.

You may also file a complaint with the federal Office for Civil Rights, Secretary of the Department of Health and Human Services via email to OCRcomplaint@hhs.gov. You can also use their online portal or mail them the complaint. Details for this process can be found on their website (ocrportal.hhs.gov). You will not be penalized for filing a complaint.